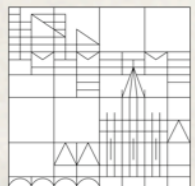


The Quantum Hall Effect in graphene

Seminar: Electronic properties of graphene
SS 2009

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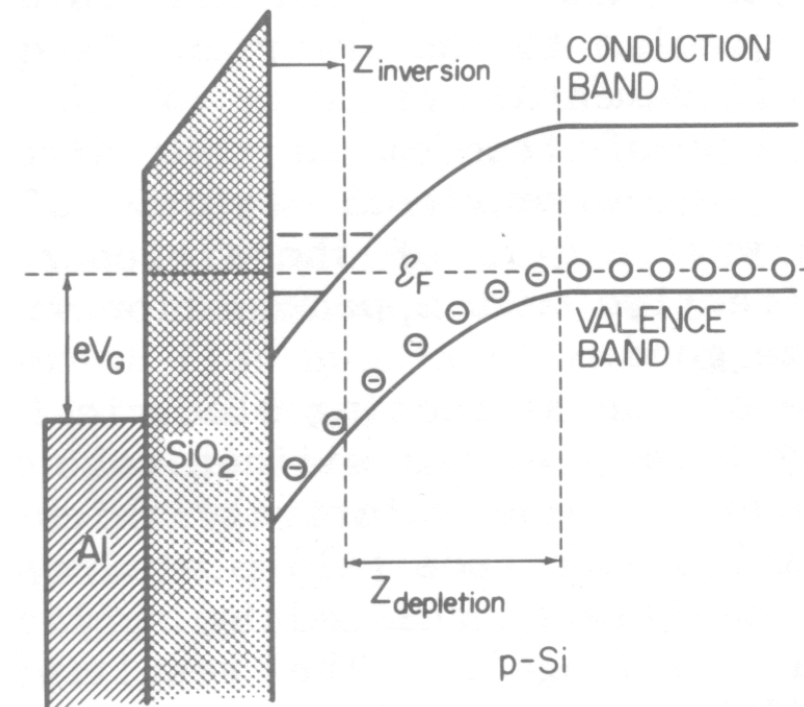
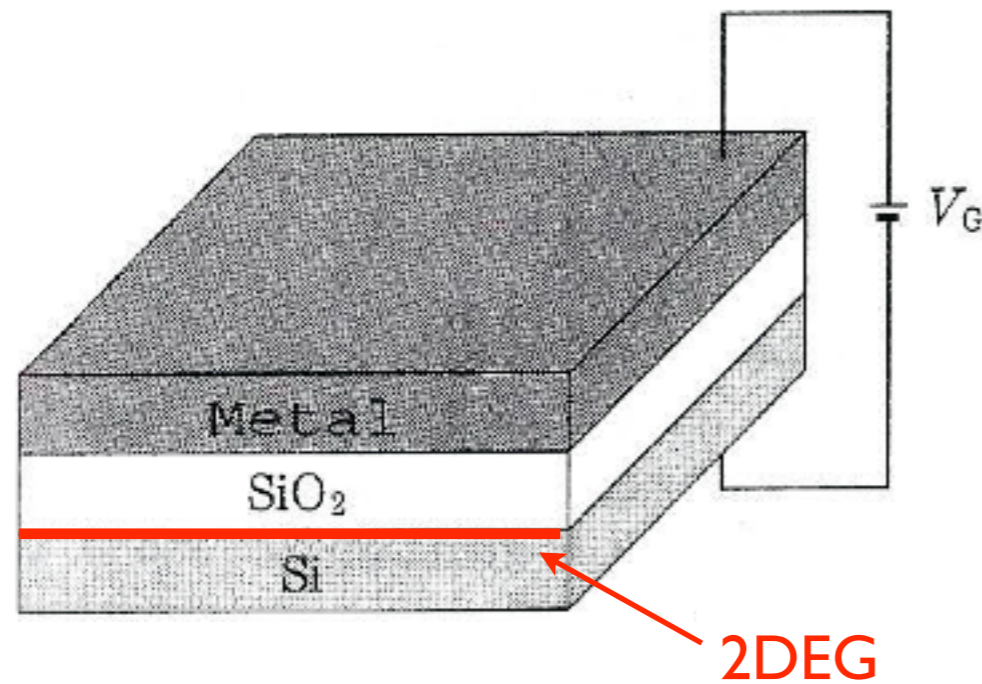


Content

- Quantum Hall Effect in a 2DEG
 - How to produce a 2DEG
 - QHE by solving the Schrödinger equation
 - Experimental results
- Quantum Hall Effect in graphene
 - QHE described by the Dirac equation
 - The anomalous integer QHE
 - Experimental results
- Summary

2DEG

- 2-dimensional electron gas, builded in a structur metal-oxide-semiconductor

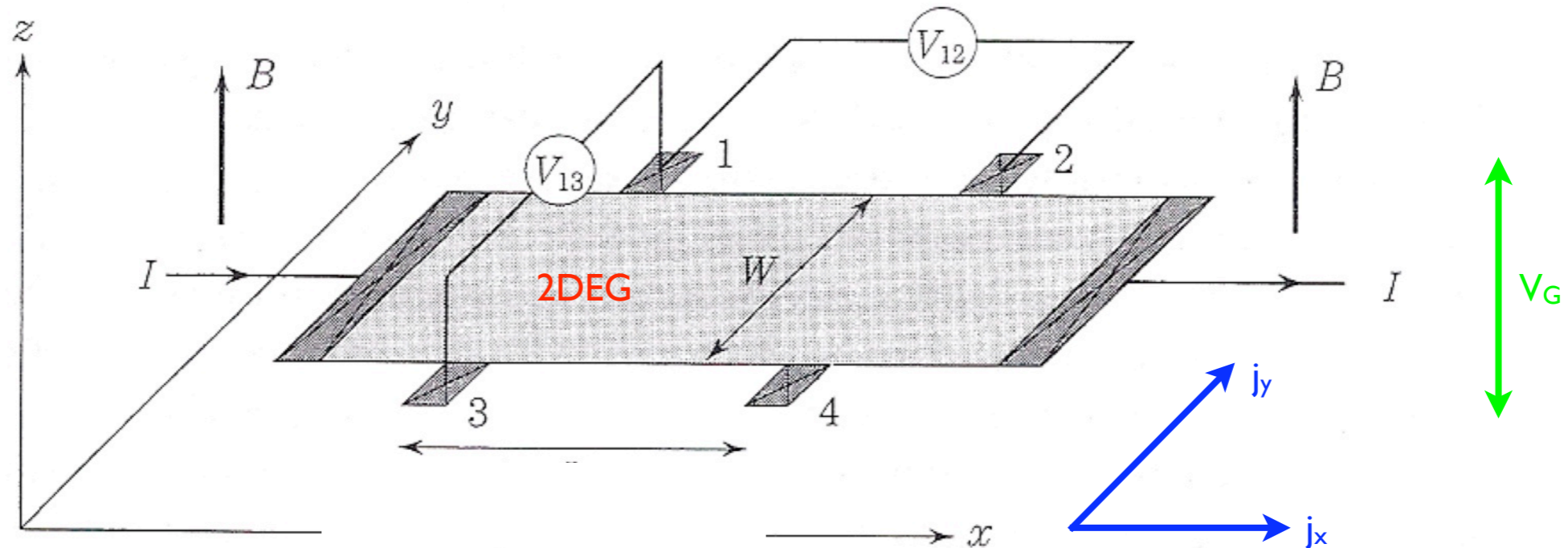


- Variation of the band structure via V_G leads to a very thin layer of quasi-free electrons between the semiconductor and the oxide
- Thickness of the 2DEG: 5-10 nm

Experimental facts

- Current in the 2DEG in x-direction and a magnetic field in z-direction induces a Hall-voltage V_H in the y-direction

$$V_{13} = V_H$$

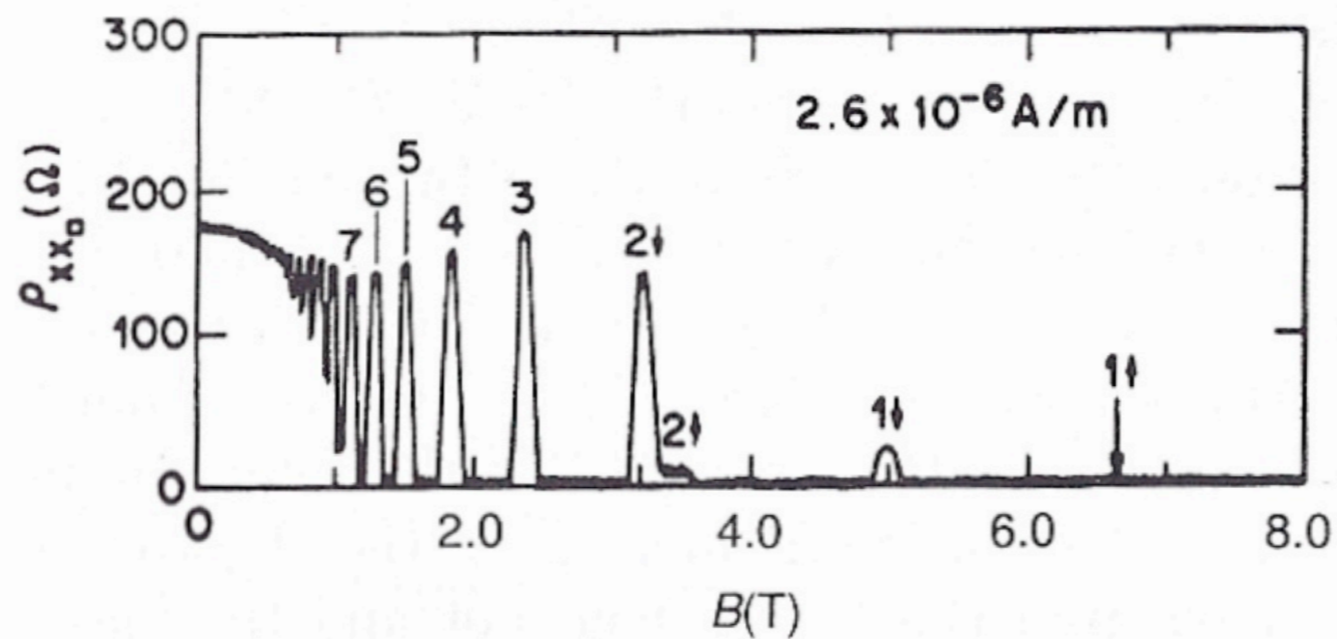
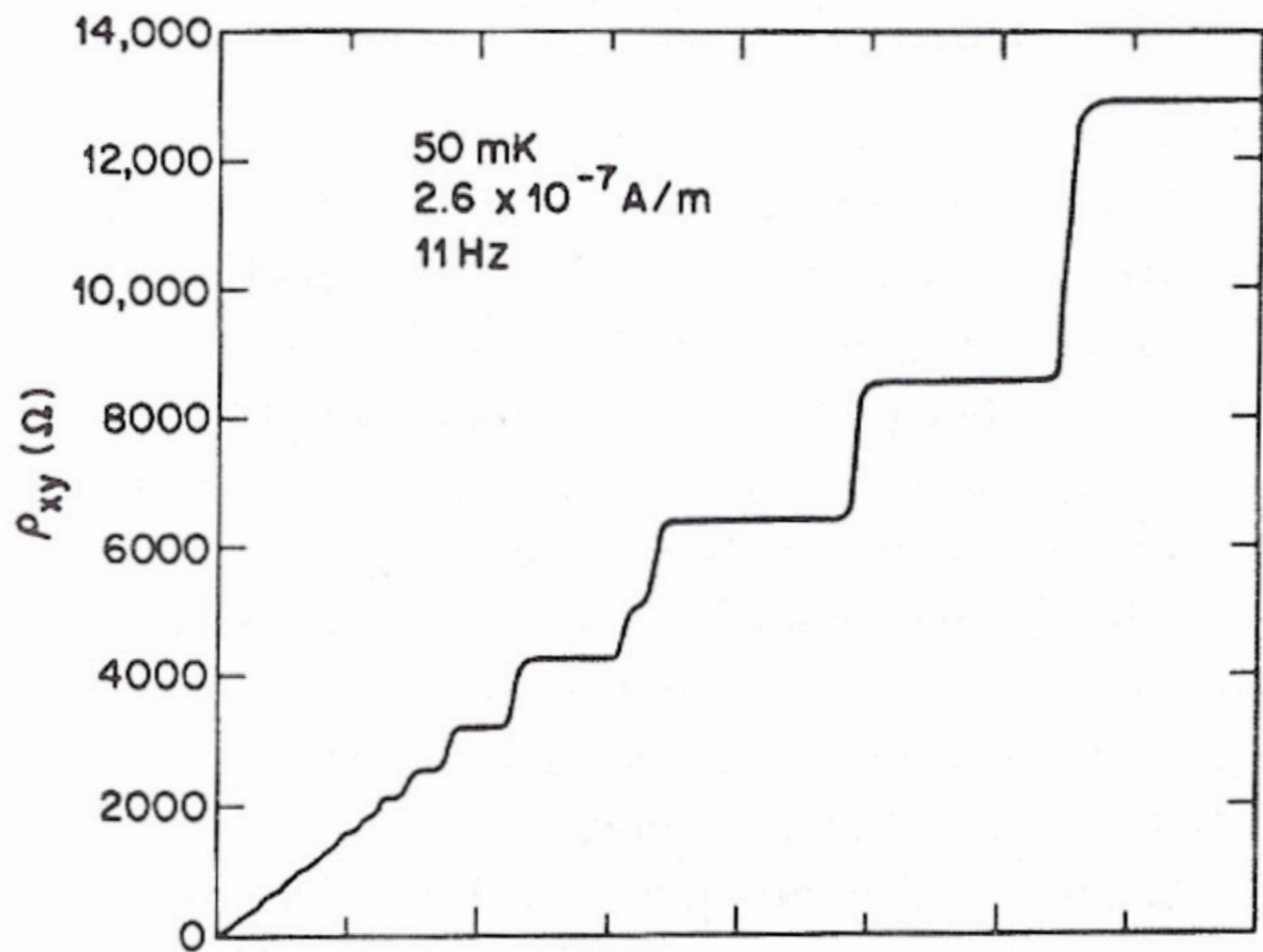


$$j_x = \sigma_{xx} E_x$$

$$j_y = \sigma_{xy} E_x$$

$$\rho_{xx} = \frac{\sigma_{xx}}{\sigma_{xx}^2 + \sigma_{xy}^2}$$

$$\rho_{xy} = \frac{\sigma_{xy}}{\sigma_{xx}^2 + \sigma_{xy}^2}$$



The electrons in the 2DEG are described by the Schrödinger-equation:

$$\hat{H}\psi(\vec{r}) = E\psi(\vec{r})$$
$$\left(\frac{\vec{p}^2}{2m_e}\right)\psi(\vec{r}) = E\psi(\vec{r})$$

Adding a magnetic field, described by the vector potential $\mathbf{A} = (-By, 0)$, perpendicular to the x-y-plane leads to:

$$\frac{1}{2m_e}\pi^2\psi(\vec{r}) = \frac{1}{2m_e}(\pi_x^2 + \pi_y^2)\psi(\vec{r}) = E\psi(\vec{r})$$

where $\vec{\pi} = \vec{p} - \frac{-e}{c}\vec{A}$

We also need the following variables:

$$l_B = \sqrt{\frac{\hbar c}{eB}} \quad \text{magnetic length}$$
$$\omega_c = \frac{eB}{m_e} \quad \text{cyclotron frequency}$$

Define the following operators:

$$a = \alpha\pi_x + \beta\pi_y$$
$$a^+ = \alpha^*\pi_x + \beta^*\pi_y$$

These operators should be ladder operators, so they have to fulfil:

$$[a, a^+] = 1$$

So we find the annihilation- and the creation-operators:

$$a = \frac{1}{\sqrt{2}} \frac{l_B}{\hbar} (\pi_x - i\pi_y)$$
$$a^+ = \frac{1}{\sqrt{2}} \frac{l_B}{\hbar} (\pi_x + i\pi_y)$$

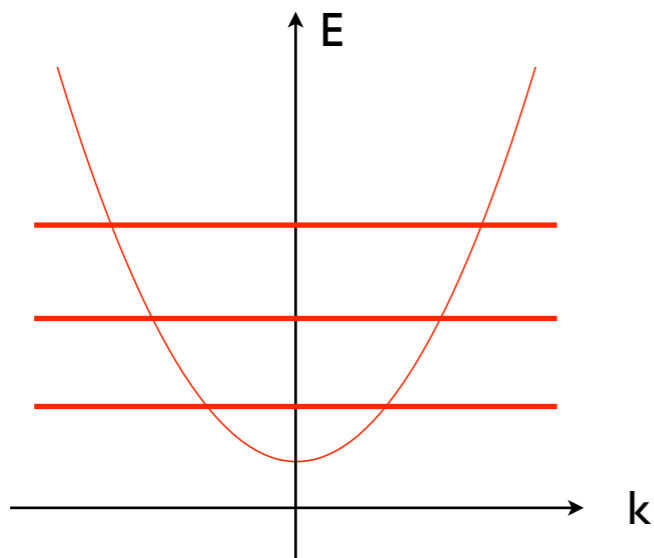
Rewrite the Hamiltonian with this operators:

$$\hat{H} = \hbar\omega_c \left(a^+ a + \frac{1}{2} \right)$$

The eigenenergies with $n = 0, 1, 2, \dots$ are called the **Landau levels**

$$E = \hbar\omega_c \left(n + \frac{1}{2} \right)$$

A magnetic field quantizes the parabolic energy functions in a 2DEG



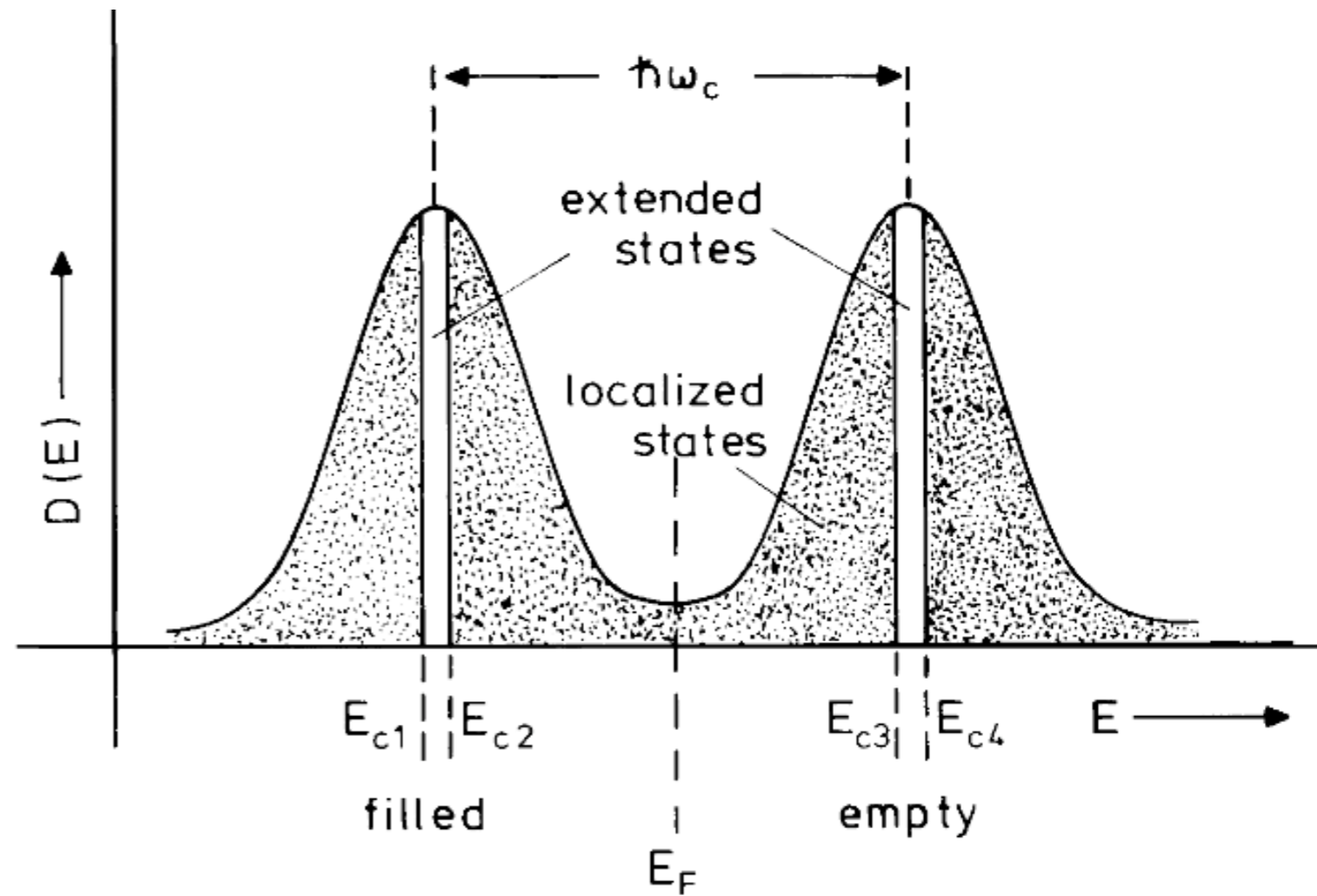
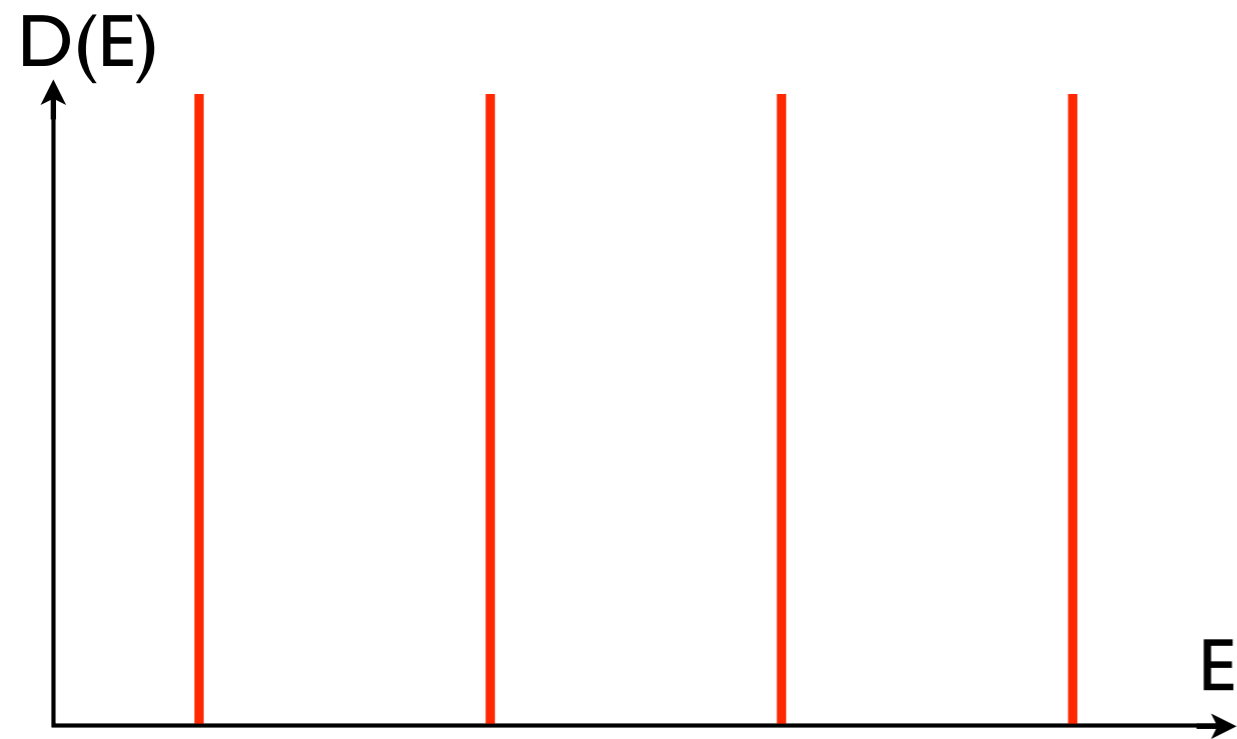
$$E = \frac{\hbar^2 k^2}{2m_e} \quad k^2 = k_x^2 + k_y^2$$

→ Discrete energy values with a high density of states

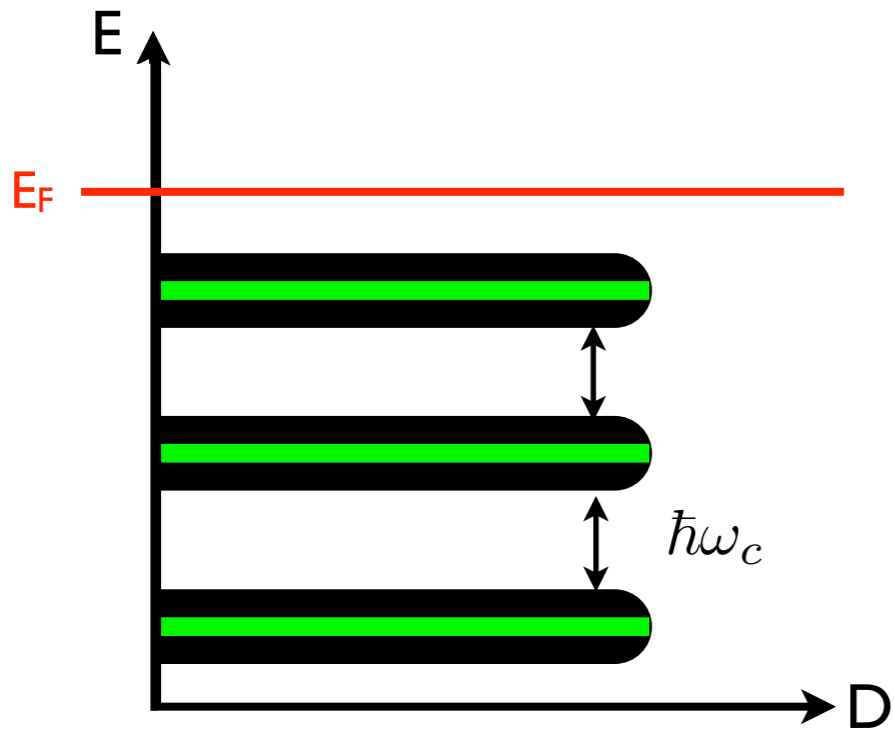
→ degeneracy N_S of the Landau levels

$$N_S = \frac{A}{2\pi l_B^2} = \frac{\Phi}{\Phi_0}$$

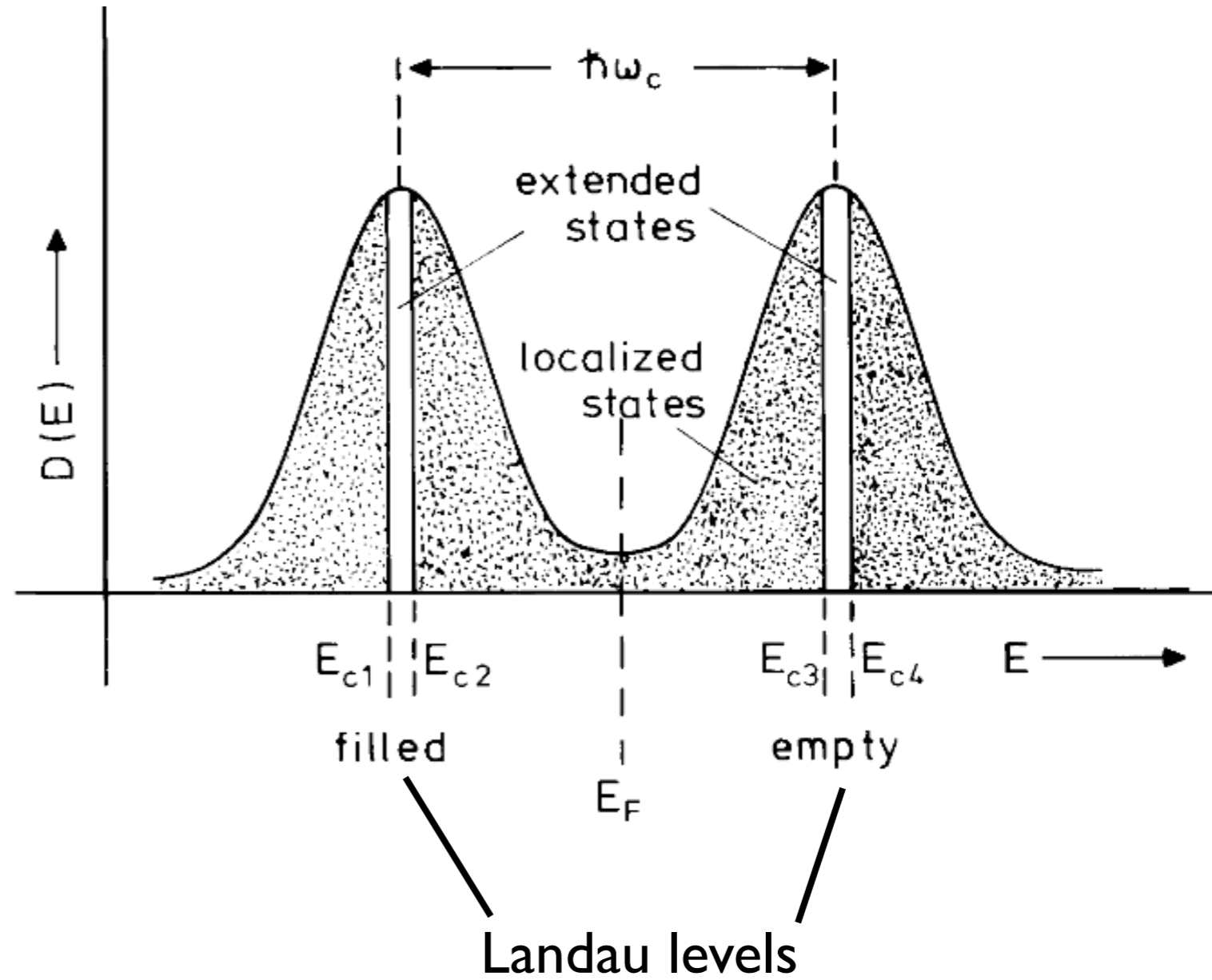
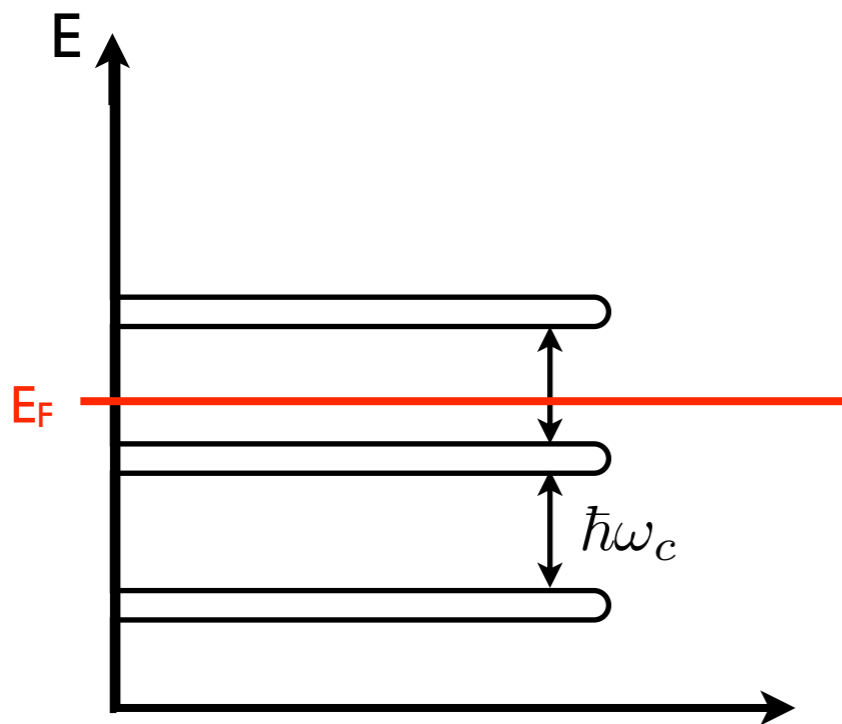
energy spectrum with and without disorder

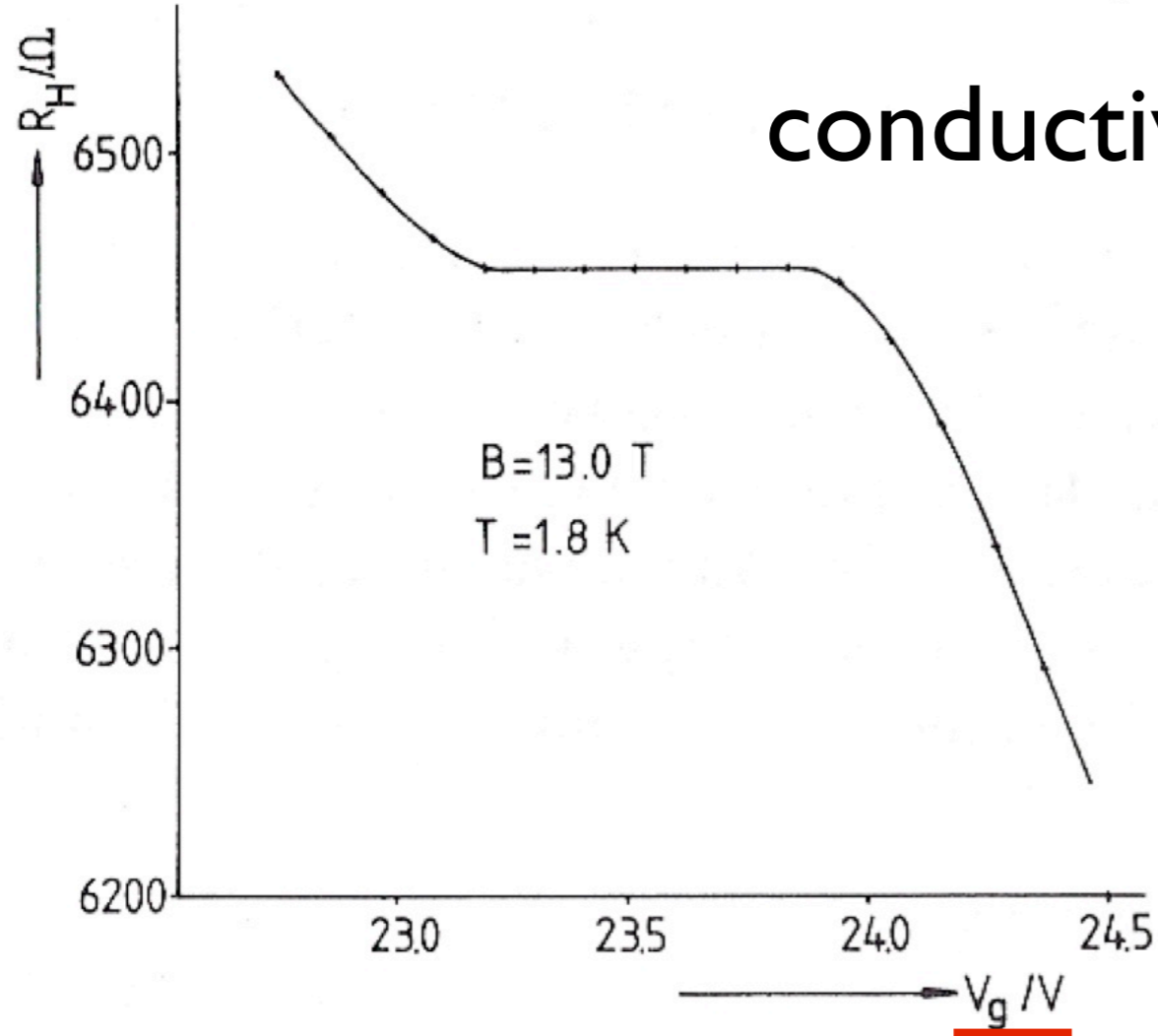
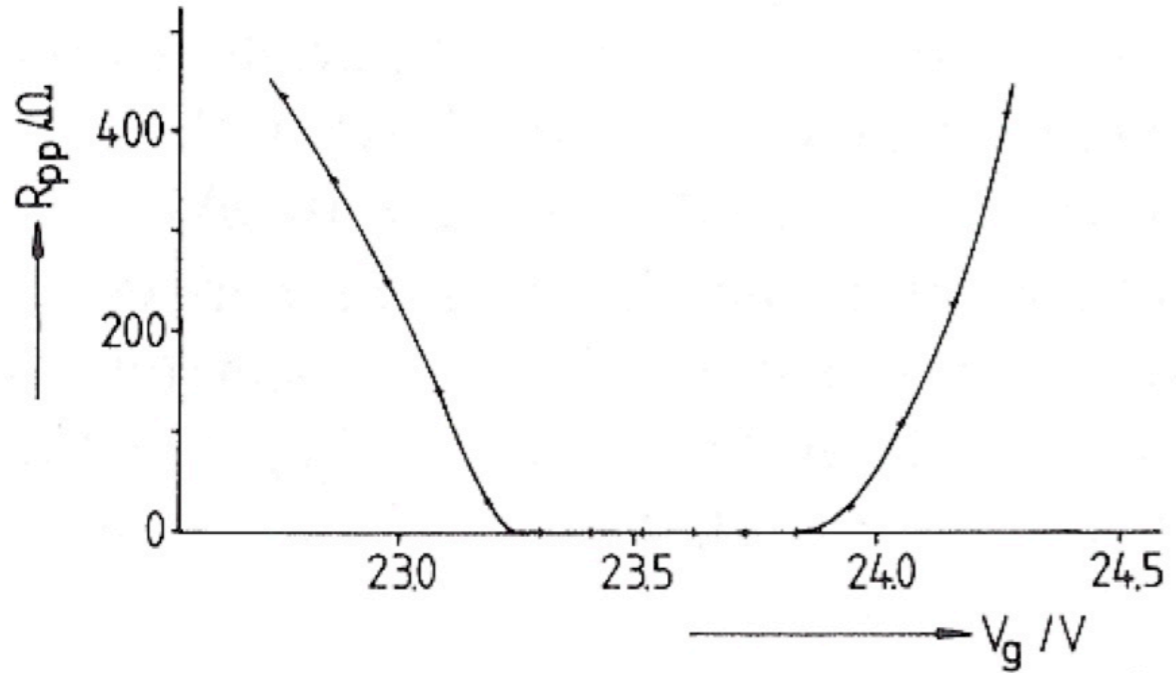


Increasing the magnetic field

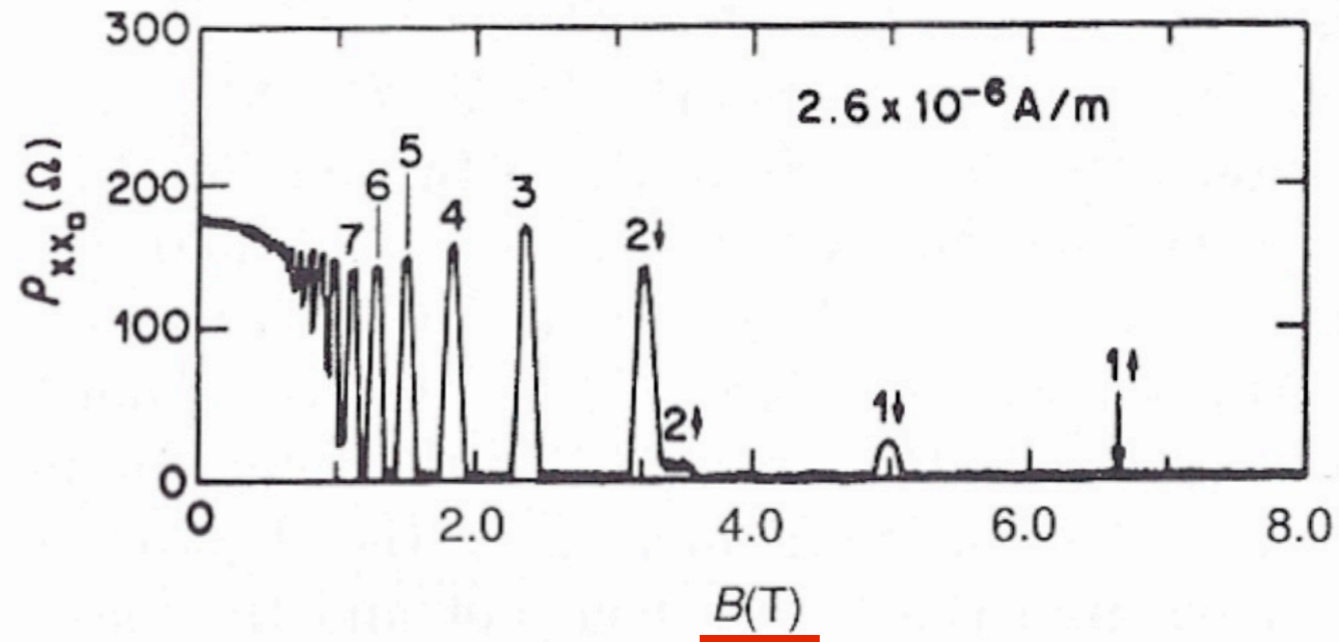
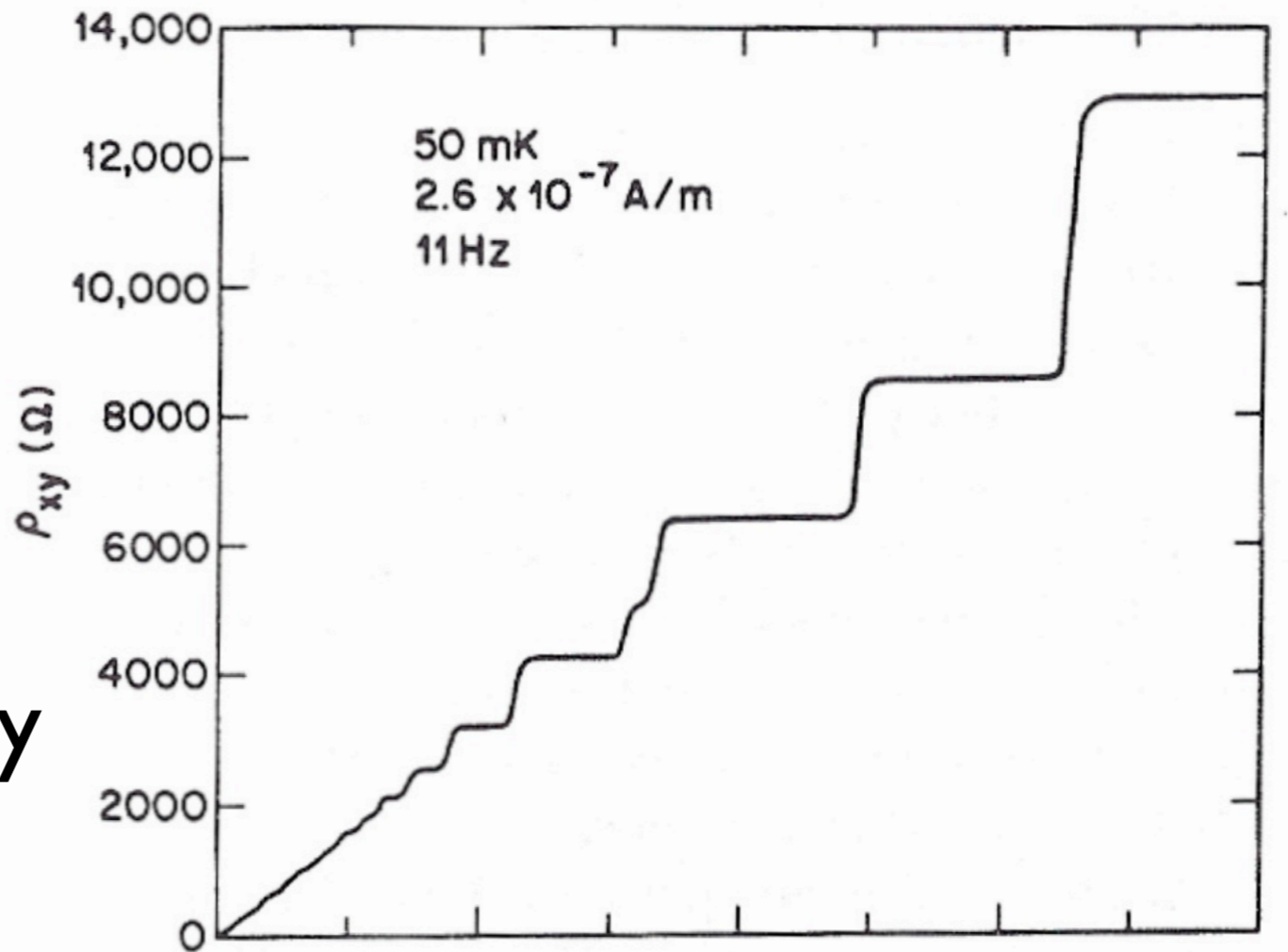


Increasing the density of electrons





conductivity



Quantum Hall Effect in graphene

Here we have the same definitions as before:

$$\begin{aligned}\vec{A} &= (-By, 0) \\ l_B &= \sqrt{\frac{\hbar c}{eB}} \\ \omega_c &= \sqrt{2} \cdot \frac{v_F}{l_B}\end{aligned}$$

Dirac-equation for an electron moving in a 2-dimensional plane:

$$v_F \vec{\sigma} \cdot \vec{p} \psi(\vec{r}) = E\psi(\vec{r})$$

Switching on a magnetic field, the momentum operator has to be replaced:

$$\vec{p} \rightarrow \vec{p} - \frac{-e}{c} \vec{A}$$

$$-v_F \vec{\sigma} \cdot \left(i\vec{\nabla} - \frac{e}{c} \vec{A}(\vec{r}) \right) \psi(\vec{r}) = E\psi(\vec{r})$$

$\vec{\sigma}$ is a vector including the Pauli spin matrixes

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

So the Dirac equation for this problem is written as follows

$$-v_F \left(\sigma_x \left(i\partial_x - \frac{e}{c}(-By) \right) + i\sigma_y \partial_y \right) \psi(\vec{r}) = E\psi(\vec{r})$$

The e^- move freely in x-direction, so we can separate the wave function in x- and y-direction:

$$\psi(\vec{r}) = \psi(x, y) = e^{ikx} \phi(y)$$

We obtain the Hamilton-operator for $\hat{H} \phi(y) = -\frac{E}{v_F} \phi(y)$ (I)

$$\hat{H} = \begin{pmatrix} 0 & \frac{eBy}{c} - k - \partial_y \\ \frac{eBy}{c} - k + \partial_y & 0 \end{pmatrix}$$

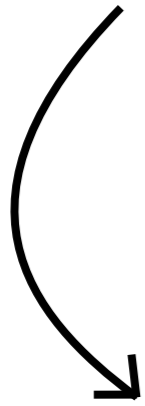
Multiply (I) with l_B and define a new variable $\xi = \frac{y}{l_B} - l_B k$

$$\begin{pmatrix} 0 & \xi + \partial_\xi \\ \xi - \partial_\xi & 0 \end{pmatrix} \phi(\xi) = -\frac{\sqrt{2} E}{\omega_c} \phi(\xi)$$

Define again operators which should be ladder operators:

$$O = \frac{1}{\sqrt{2}} (\xi + \partial_\xi) \qquad O^+ = \frac{1}{\sqrt{2}} (\xi - \partial_\xi)$$

$$[O, O^+] = 1$$



$$\begin{pmatrix} 0 & O \\ O^+ & 0 \end{pmatrix} \phi(\xi) = -\frac{E}{\omega_c} \phi(\xi)$$

The wave function is a two component vector

$$\phi(\xi) = \begin{pmatrix} \phi_A(\xi) \\ \phi_B(\xi) \end{pmatrix}$$

where A and B describe the two sublattices in the hexagonal lattice.

Is it possible to write this Hamilton operator also that it looks like a harmonic oscillator?

→ Idea: Write the eigenvalue equation for H^2

$$\begin{pmatrix} 0 & O \\ O^+ & 0 \end{pmatrix}^2 \phi(\xi) = \frac{E^2}{\omega_c^2} \phi(\xi)$$

→ So we gain H^2 , looking like a h.o.

$$\hat{H}^2 = \left[\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + O^+ O \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right] \omega_c^2$$

$O^+ O$ is a number operator → we obtain the quantized eigenenergies for

sublattice A

$$E^2 = (\hbar\omega_c)^2 \cdot (m + 1)$$

$$m = 0, 1, 2, \dots$$

sublattice B

$$E^2 = (\hbar\omega_c)^2 \cdot n$$

$$n = 0, 1, 2, \dots$$

The quantum numbers \mathbf{n} and \mathbf{m} are not independent:

$$m = n - 1$$

$$\rightarrow E_n = \hbar\omega_c\sqrt{n}$$

→ Every solution now can be constructed from the zero energy solution:

$$\phi_{N,\alpha}(\xi) = \begin{pmatrix} \psi_{N-1}(\xi) \\ \alpha \cdot \psi_N(\xi) \end{pmatrix}$$

To determine α , use $H\phi = E\phi$

$$\alpha = \mp 1$$

Zero energy state:

A solution with zero energy exists for $n=0$

$$\hat{H}\phi = \begin{pmatrix} 0 & O \\ O^+ & 0 \end{pmatrix} \begin{pmatrix} \phi_A(\xi) \\ \phi_B(\xi) \end{pmatrix} = E \begin{pmatrix} \phi_A(\xi) \\ \phi_B(\xi) \end{pmatrix} = 0$$



$$O\phi_B(\xi) = 0$$

$$O^+\phi_A(\xi) = 0$$

ground state

$$\Rightarrow \phi_B(\xi) = \psi_{n=0}(\xi)$$

$$\Rightarrow \phi_A(\xi) = 0$$

$$\phi_0(\xi) = \begin{pmatrix} 0 \\ \psi_{B,n=0}(\xi) \end{pmatrix}$$

ψ_N are the solutions of the harmonic oscillator

$$\psi_N = \frac{1}{\sqrt{2^N N!}} \exp\left[-\frac{1}{2}\xi^2\right] H_N(\xi)$$

H_N is a Hermite polynomial

Remember the e^- wave function

$$\phi_{N,\mp}(\xi) = \begin{pmatrix} \psi_{N-1}(\xi) \\ \mp\psi_N(\xi) \end{pmatrix} \quad E_{\mp} = \mp\hbar\omega_c\sqrt{N}$$

with its zero **energy state for $N=0$**

→ **This state is very important for understanding the QHE in graphene**

The anomalous integer QHE

graphene ribbon, rolled up, current circling perpendicular to the magnetic field

→ current generates a magnetic flux Φ

Changing the flux only would influence the extended states (they contribute to the current)

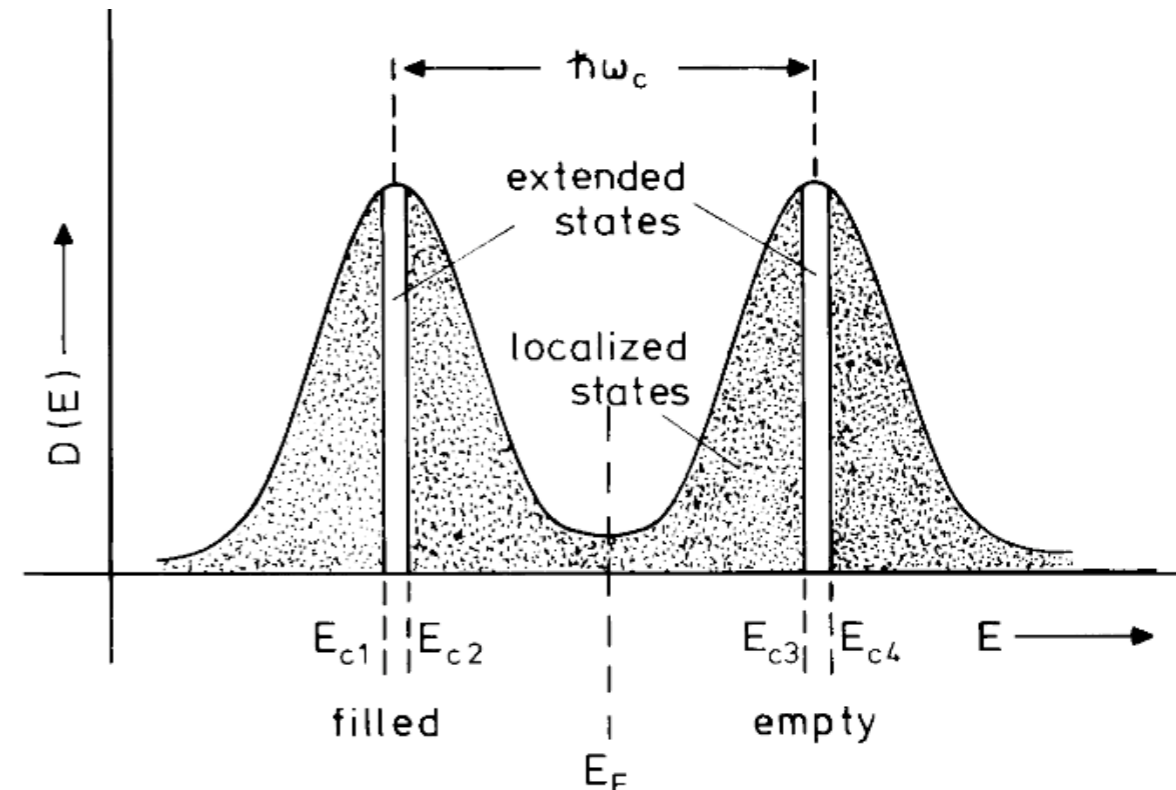
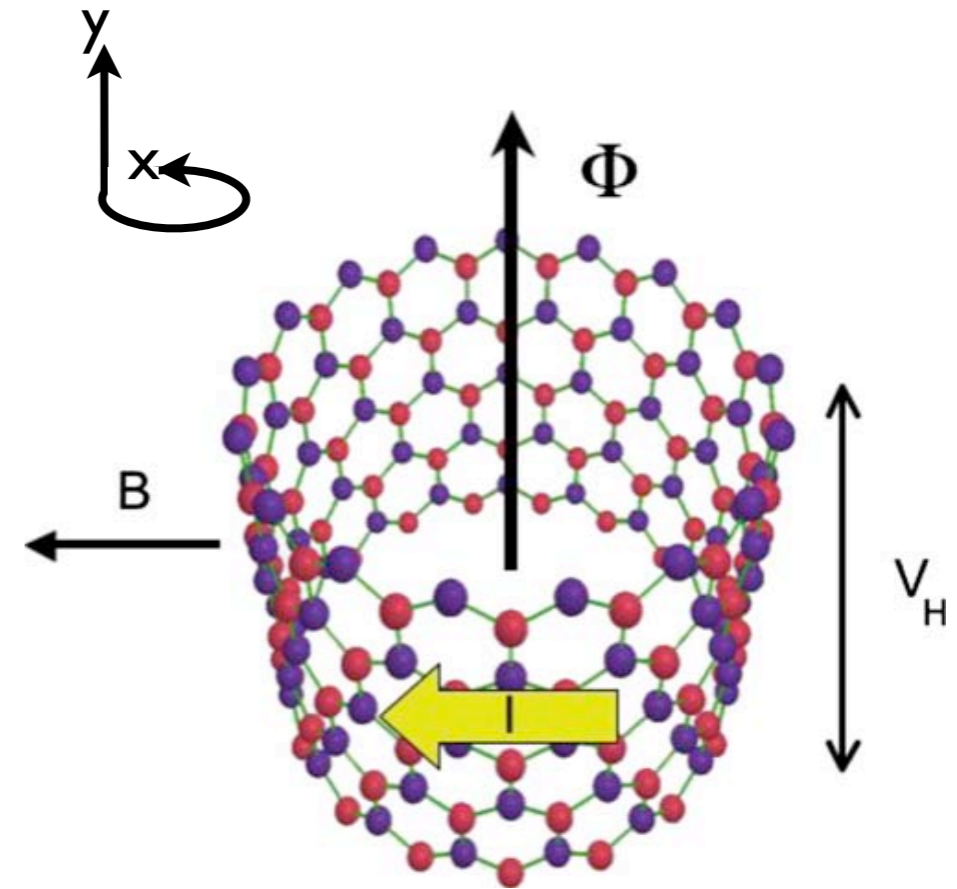
$$\phi(x + 2\pi R, y) = \phi(x, y)$$

$$\Delta\Phi = n \times \Phi_0 = n \times \frac{hc}{e}$$

Fermi energy in area of localized states

→ change of one flux quantum will not change number of occupied extended states (conductivity remains constant)

→ integer number of states leave the cylinder at one side, same number enters the cylinder on the other side when the flux changes



How many states cross the cylinder for $\delta\Phi = \Phi_0$?

Current is described by $I = c \frac{\delta E}{\delta\Phi}$ E : total energy in the system

Each Landau level contributes to the current with one state times its degeneracy g

→ $g = 4$ for graphene (two Dirac points times two spin states)

→ change of energy when flux is changed by one flux quantum:

$$\delta E = \pm 4N \underbrace{eV_H}_{\text{energy each electron has in y-direction}}$$

So the change in current in y-direction is given by:

$$\delta I = 4N \frac{e^2}{h} V_H$$

So we can calculate the Hall conductivity:

$$\sigma_{xy} = \frac{I}{V_H} = 4N \frac{e^2}{h}$$

This result is the same as for a 2DEG, but there would be only a factor 2 from the spin degeneracy in Landau levels.

For graphene there is a problem with this result!

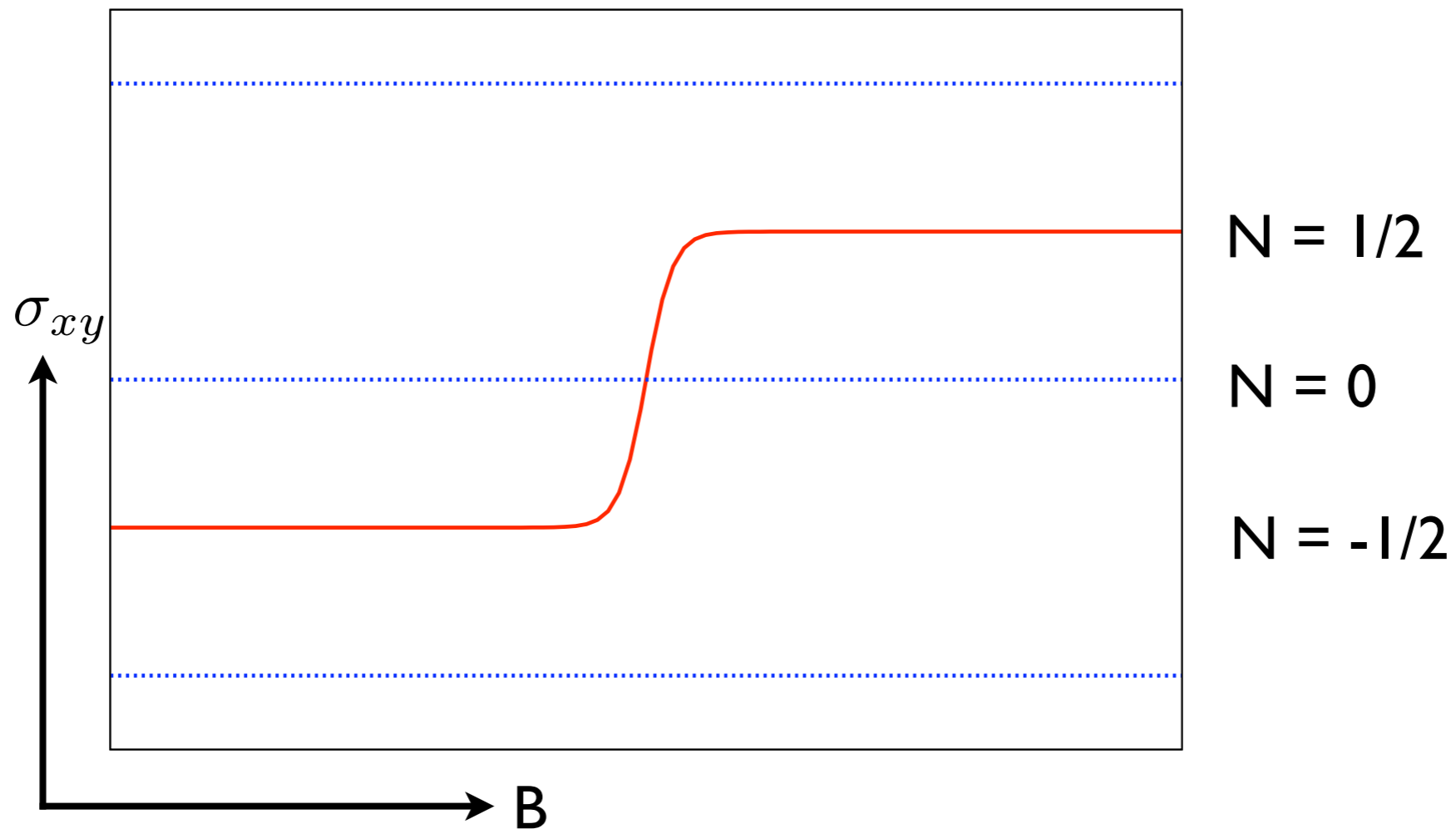
The equation above predicts a plateau of conductivity for $N=0$, which is not possible for graphene because there is a Landau level for $N=0$.

→ There is an area with extended states, so the conductivity changes by changing the flux.

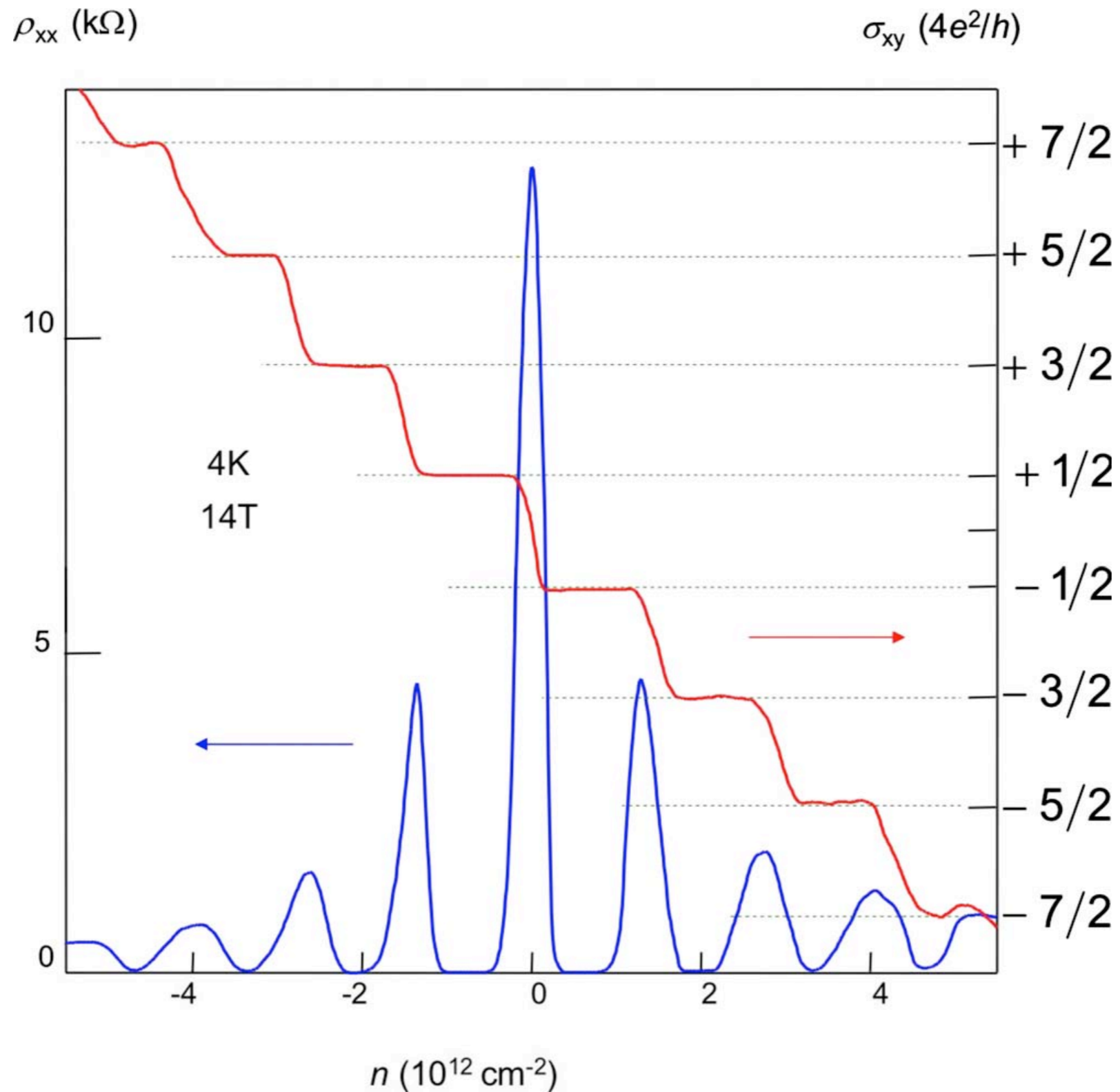
But: the lowest Landau level has some special properties, a different degeneracy

Lowest Landau level has half the degeneracy:

$$\sigma_{xy} = 4 \frac{e^2}{h} (4N + 2) = \frac{e^2}{h} 2(2N + 1)$$



This result was determined by experimental results, which is i.e. shown in the following picture, made by *Novoselov, Geim, Morozov, et al., 2005*



Summary

2DEG

graphene

- Schrödinger equation

- Landau levels E_n

$$E_n = \hbar\omega_c \left(n + \frac{1}{2} \right)$$

- Hall-conductivity

$$\sigma_{xy} = \frac{e^2}{h} N$$

- Dirac equation

- Landau levels E_N

$$E_N = \mp \hbar\omega_c \sqrt{N}$$

- Hall-conductivity

$$\sigma_{xy} = \frac{e^2}{h} 2(2N + 1)$$

References

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- ❖ D. Yoshioka, *The Quantum Hall Effect*, Springer, 2002
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